

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5496007
<b>Application Number:</b>	10711889
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5888
<b>Title of Invention:</b>	METHOD AND SYSTEM FOR BLOCK TRADING OF SECURITIES
<b>First Named Inventor/Applicant Name:</b>	Ross L. Stevens
<b>Customer Number:</b>	69603
<b>Filer:</b>	Steven Phillips/Faye Wigfall
<b>Filer Authorized By:</b>	Steven Phillips
<b>Attorney Docket Number:</b>	014033-000037
<b>Receipt Date:</b>	11-JUN-2009
<b>Filing Date:</b>	12-OCT-2004
<b>Time Stamp:</b>	10:59:02
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Electronic Funds Transfer
Payment was successfully received in RAM	\$2540
RAM confirmation Number	18108
Deposit Account	
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Extension of Time	037_EOT_061109.pdf	432505 cb583bd7ba01ed8c98ce095988311ddc0994164	no	2
Warnings:					
Information:					
2	Request for Continued Examination (RCE)	037_RCE_061109.pdf	697141 9c78a9d28dcdbca30caef13c492c470e005880	no	3
Warnings:					
Information:					
3		037_AmdwRCE_61109.pdf	510688 5cd0bdc4bdfef47a27bbf81767d30358092717	yes	12
Multipart Description/PDF files in .zip description					
Document Description		Start	End		
Amendment After Final		1	1		
Drawings-only black and white line drawings		2	9		
Applicant Arguments/Remarks Made in an Amendment		10	12		
Warnings:					
Information:					
4	Fee Worksheet (PTO-875)	fee-info.pdf	32541 9bee079726d113f1b3c7b879703054b56a56f0521	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			1672875		

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.